

Background and Regulatory History of CMI Broker-Dealers and Investment Advisors

	Name of Broker Dealer/ Investment Advisors Who Sold CMI Bonds	Firm's Regulatory History
1.	American Heritage Church Finance	<ul style="list-style-type: none"> • Formed in Florida on 02/01/1984 • The main office is in Casselberry, FL • History of Regulatory Violations? <ul style="list-style-type: none"> ○ yes
2.	Bauerle Financial, Inc	<ul style="list-style-type: none"> • An investment adviser firm • Formed in Florida on 01/02/1995 • The main office is in Deland, FL • Registered in Florida and Texas
3.	Cambridge Legacy Securities, Inc	<ul style="list-style-type: none"> • Formed in Delaware on 09/09/1999 • The main office is in Dallas, TX • Other Names of this Firm <ul style="list-style-type: none"> ○ Abel Financial, TX ○ Amerus, TX ○ BDT & Associates, AZ ○ Breedlove Financial, TX ○ Cornerstone Financial Services, Inc; TX ○ Cornerstone Financial Advisors, LA ○ Howard & Barr, TX ○ Howard Capital Management, TX ○ Lighthouse Financial Group, VA ○ Mike Henry & Associates, TX ○ Morgan Legacy Trust, FL ○ Northstar Brokerage, AZ, NM ○ Strategic Financial Solutions, Inc, NC ○ U.S. Wealth Advisors, Inc; TX ○ Valta, Inc; NC ○ Wealth Management Advisors, TX ○ Wealth Resource Management, Inc; GA • History of Regulatory Violations? <ul style="list-style-type: none"> ○ yes
4.	Commonwealth Church Finance	<ul style="list-style-type: none"> • Formed in Georgia on 08/27/1981 • The main office is in McDonough, GA • History of Regulatory Violations? <ul style="list-style-type: none"> ○ yes
5.	G.A. Repple & Company	<ul style="list-style-type: none"> • Formed in Florida on 11/05/1985 • The main office is in Casselberry, FL • History of Regulatory Violations? <ul style="list-style-type: none"> ○ yes
6.	Huntleigh Securities Corporation	<ul style="list-style-type: none"> • Formed in Missouri on 05/12/1997 • The main office is located in St. Louis, MO • History of Regulatory Violations? <ul style="list-style-type: none"> ○ yes

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7.	MMR, Inc	<ul style="list-style-type: none">• Formed in Kansas in 08/02/1985• The main office is in Wichita, KS• History of Regulatory Violations?<ul style="list-style-type: none">○ yes
8.	Wellstone Securities, Inc	<ul style="list-style-type: none">• Formed in Georgia on 04/02/2002• The main office is in Cumming, GA• History of Regulatory Violations?<ul style="list-style-type: none">○ yes